

PTO/SB/21 (08-00)

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TRANSMITTAL	Application Number	09/828,284					
OIPE FORM	Filing Date	Apr 5, 2001					
(to be used for all enrespondence after initial filing	g) First Named Inventor	Peak					
By July 500.	Group Art Unit	NYA					
THADEMAN!	Examiner Name	NYA					
	+ Attorney Docket Number	103.1066.01					
ENCI	LOSURES (check all that apply)					
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group					
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition	Proprietary Information					
Affidavits/declaration(s)	Petition to Convert a Provisional Application	Status Letter					
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below): PTO SB 08 A & B Copies of Art References					
Express Abandonment Request	Terminal Disclaimer	Return Postcard					
Information Disclosure Statement	Request for Refund						
Certified Copy of Priority Document(s)	CD, Number of CD(s)						
Response to Missing Parts/ Incomplete Application	arks						
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	OF APPLICANT, ATTORNEY, OF	RAGENT					
Firm or Individual name Steven A. Swernof	fsky	Reg. no. 33,040					
Signature Succer	notes						
Date 7/17/01	5						
	CERTIFICATE OF MAILING						

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PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant(s): Peak et al.

Serial No.: 09/828,284

Filed: 4/5/2001

Title: Automatic Verification of Scheduling

Domain Consistency

Attorney Docket No.: 103.1066.01

Assistant Commissioner for Patents

Washington, D.C. 20231

Group Art Unit:

NYA

Examiner:

NYA

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

<u>X</u>	under 37 CFR 1.97(b), or
	(Within three months of filing national application; or date of entry of international
	application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a:
	Certification under 37 CFR 1.97(e), or
	a \$180.00 fee under 37 CFR 1.17(p), or
	(After the CFR 1.97(b) time period, but before final action or notice of
	allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a:
	Certification under 37 CFR 1.97(e), and
	a petition under 37 CFR 1.97(d)(2)(ii), and
	a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1).
	(Filed after final action or notice of allowance, whichever occurs first, but
	before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 08A&B-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.



ATENT TRADEMARK OFFICE

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 7-18-2001

Typed Name: Arlette Malhas, Paralegal

Signature: Multe Mallas

Steven A. Swernofsky

Attorney/Agent for Applicant(s)

Reg. No. 33,040

Date: 7/17/01

Telephone No.: 650-947-0700

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Compi	lete if Known
Application Number	09/828,284
Filing Date	4/5/2001
First Named Inventor	Peak
Group Art Unit	NYA
Examiner Name	NYA
Attorney Docket Number	103.1066.01

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	4,333,144		Whiteside et al.	06-01-1982		
	2	4,459,664		Pottier et al.	07-10-1984		
	3	4,488,231		Yu et al.	12-11-1984		
	4	4,494,188		Nakane et al.	01-15-1985		
	5	4,742,447		Duvall et al.	05-03-1988		
	6	4,742,450		Duvall et al.	05-03-1988		
	7	4,875,159		Cary et al.	10-17-1989		
	8	4,914,583		Weisshaar et al.	04-03-1990		
	9	US005,297,265A	Α	Frank et al.	03-22-1994		
	10	US005,357,612A	A	Alaiwan	10-18-1994	· ·=	
	11	US005,454,095A	A	Kraemer et al.	09-26-1995		
	12	US005,940,828A	A	Anaya et al.	08-17-1999		
	13	US005,963,962A	Α	Hitz et al.	10-05-1999		
	-						

	FOREIGN PATENT DOCUMENTS							
	T	F	oreign Patent Document			Date of Publication of	Pages, Columns, Lines,	
Examiner Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T⁵
	1	DE	694 25 658 T2	T2	Hitz et al.	04-19-2001		
	2	EP	0 702 815 B1	B1	Hitz et al.	08-23-2000		
	3	wo	94/29807 A1	A1	Hitz et al.	12-22-1994	_	

Examiner		Date	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

ease type a plus sign (+) inside this box → ⊞ ider the PapeRonk Reduction Act of 1995, no persons are required to		PTO/SB/08B (10- roved for use through 10/31/99. OMB 0651-00 ark Office; U.S. DEPARTMENT OF COMMER n unless it displays a valid OMB control number.
TRANSMITTATE TRANS	P. TENT TRADEMARK OFFICE Com	plete if Known
TRACE	Application Number	09/828,284
INFORMATION DISCLOSURE	Filing Date	4/5/2001
STATEMENT BY APPLICANT	First Named Inventor	Peak
	Group Art Unit	NYA

Examiner Name

Attorney Docket Number

NYA

103.1066.01

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of 2

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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	DIANNE E. BRITTON and MARK E. STICKEL; An Interprocess Communication Facility for Distributed Applications; RCE Laboratories; David Sarnoff Research Center; Princeton, New Jersey; IEEE 1980; Pages 590-595.	
	2	DAVID R. CHERITON; Multi-Process Structuring and the Thoth Operating System; Department of Computer Science; University of British Columbia, Vancouver, B.C. Canada; March 1979, Pages 1-64.	-
	3	DAVID R. CHERITON; The Thoth System: Multi-Process Structuring and Portability; Operating and Programming Systems Series; The Computer Science Library.	
	4	DAVID R. CHERITON et al.; Thoth, A Portable Real-Time Operating System; Vol. 22; February 1979; Pages 105-115. Operations Systems, Communications of the ACM, University of Waterloo	
	5	ANITA K. JONES et al.; StarOS, a Multiprocessor Operating System for the Support of Task Forces; Department of Computer Science Carnegie-Mellon University, Pittsburg, Pennsylvania; 1979.	
	6	KEITH A. LANTZ et al.; Rochester's Intelligent Gateway; IEEE; October 1982.	•
	7	MICHAEL A. MALCOLM; A Process Abstraction and its Application; Department of Computer Science; University of Waterloo; Waterloo, Ontario; Proc. Eighth Manitoba Conference on Numerical Math. and Computing, 1978; Pages 33-50.	
	8	BRUCE NELSON and YU-PING CHENG; The Myth of Transfer Rate-How and Why SCSI Is Better than IPI for NFS; Technical Report 6, Second Edition-Auspex; July 1992.	
	9	Slashdot: Tux2: The Filesystem That Would Be King - Microsoft Internet Explorer. 10-20-2000	

Examiner Date Signature Considered

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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